



INDIANA LOBBY REGISTRATION COMMISSION
251 N. Illinois St., Suite 975
Indianapolis, IN 46204-1927
(317) 232-9860

Indicate Reporting Period

- ☐ Expenditures made from January 1 to June 30, 2001
- Due on or before July 31, 2001
- ☐ Expenditures made from July 1 to December 31, 2001
- Due on or before January 31, 2002

☐ Terminate Registered Lobbyist Status

Reporting as

☐ EMPLOYER ☐ COMPENSATED

2001 Report of Lobbyist Activity

*Questions? Read Indiana Code 2-7-3-1 through 2-7-3-5
or call the commission office at (317) 232-9860.*

Registered lobbyists are required by law either to file activity reports twice each year or to terminate their registrations. Lobbyists filing activity reports after the **deadlines of July 31, 2001, and January 31, 2002**, will be charged a late fee of \$10 per day, per report, not to exceed \$100 per report. Mailed reports are considered filed on the date of the canceled postmark.

NOTE: A lobbyist who both receives compensation for lobbying and who also compensates another person to lobby shall only file a separate activity report for each person from whom he receives payment for lobbying.

Section A - Registrant Information

1. Full legal name of lobbyist:		2. Business phone number:	
3. Complete business address:		4. Preferred mailing address (<i>street or post office box, city, state, and ZIP code</i>):	
5. Name, title, and phone number of person completing this report:			
6. List between three and four persons who are responsible for the activities of the registrant (<i>e.g., president, secretary, executive director</i>):			
Name		Title	Address/Phone Number
a.			
b.			
c.			
d.			

Section B - Employer/Client of the Registrant (*only a compensated lobbyist completes this section*)

1. Name of client :	
2. Complete business address:	
3. Business phone number:	4. Type of business:

Section C - Lobbying Expenditures (prorate, if necessary)

Pursuant to IC 2-7-3-1, a compensated lobbyist shall file a separate activity report relating to each person from whom he receives payment for lobbying. Employer lobbyists shall file one activity report. However, a lobbyist who both receives compensation for lobbying and also compensates another to lobby shall only file separate activity reports for each person from whom he receives payment for lobbying.

NOTE: For the second reporting period, or when terminating, you must calculate total year-end expenditures for calendar year 2001 (add across both reporting periods).	For JULY 31 Report covering:	For JANUARY 31 Report covering:	
	Jan. 1 - June 30, 2001 (1st Reporting Period)	July 1 - Dec. 31, 2001 (2nd Reporting Period)	Total 2001 Year-End Expenses (both reporting periods)
1. Total compensation paid to employees and contract lobbyists for performing lobbying services:	\$	\$	\$
2. Total reimbursement to others who perform lobbying services:	\$	\$	\$
3. Receptions:	\$	\$	\$
4. Entertainment, including meals:	\$	\$	\$
5. Gifts to employees of members of the general assembly or to the immediate family of employees of members of the general assembly:	\$	\$	\$
6. Expenditures made for the benefit of all members of the general assembly:	\$	\$	\$
7. Total expenditures and gifts reported in Sections E -2 and E-3(<i>and not reported elsewhere on this form</i>):	\$	\$	\$
8. Registration and late fees:	\$	\$	\$
9. All other expenditures made for lobbying that have not been reported on other lines:	\$	\$	\$
10. Total lobbying expenditures (add lines 1 through 9):	\$	\$	\$
11. If reporting only as a compensated lobbyist, or as both a compensated and an employer lobbyist, you may subtract all of line 10, except for expenses incurred to lobby on your own behalf or expenses that were not attributable to any client:	\$ ()	\$ ()	\$ ()
12. NET FIGURE (subtract line 11 from line 10) :	\$	\$	\$

Section D - Affidavit of Non Legislative Income

List the names of each member of the general assembly from whom the lobbyist has received an affidavit required under IC 2-2.1-3-3.5. Attach an additional sheet if necessary.

Section E - 1: Good-Faith Exchange Transactions (not to be reported in Section C)

Report purchases made by a lobbyist from a legislator's sole proprietorship, partnership, or family business (if purchase is in excess of \$100/day or \$500 aggregate for the year).

Name the legislator's business for each exchange:	Identify the transaction	January 1 - June 30 (1st reporting period)	July 1 - December 31 (2nd reporting period)
1.			
2.			
3.			
4.			
5.			
6.			
7.			

Section E - 2: Gifts (report either on line 5 or 7 of Section C)

Report gifts given to members of the general assembly, officers of the general assembly, employees of the general assembly, or immediate family of members, officers, or employees of the general assembly (if gift is in excess of \$100/day or \$500 aggregate for the year).

1.			
2.			
3.			
4.			
5.			
6.			
7.			
8.			
9.			
10.			

Section E - 3: Other Expenditures Not Reported in Sections E -1 and E -2 (report on line 7 of Section C)

Report any expenditure(s) not included in Sections E-1 and E-2 that exceeded \$100 in a day or \$500 aggregate for the year. This includes meals, entertainment, and receptions to which less than all members of the general assembly were invited.

1.			
2.			
3.			
4.			
5.			
6.			
7.			
8.			
9.			
10.			

Section F - Lobbying Interests

Please identify the topics associated with the expenditures you are reporting. Check all the appropriate boxes and write down additional topics and specific legislation (See IC 2-7-2-3).

[] Accounting	[] Economic Development	[] Local Government	[] Salaries
[] Advertising	[] Education	[] Managed Care	[] State Government
[] Agriculture	[] Elderly	[] Medicaid/Medicare	[] Taxation
[] AIDS	[] Energy	[] Medical Records	[] Teachers
[] Alcoholic Beverages	[] Engineering	[] Mental Health	[] Telecommunications
[] Arts	[] Environment	[] Motor Vehicles	[] Tobacco
[] Aviation	[] Finance	[] Municipalities	[] Transportation
[] Banking	[] Fire Fighters	[] Natural Resources	[] Utilities
[] Budget	[] Gaming	[] Nursing Homes	[] Wagering
[] Business	[] Health Care	[] Pari-Mutuel	[] Waste Management
[] Casino Gaming	[] Historic Preservation	[] Pension Funds	[] Welfare
[] Children's Issues	[] Homeless	[] Pharmaceuticals	[] Women's Issues
[] Civil Justice	[] Hospitals	[] Physical Fitness	[] Workers' Compensation
[] Commerce	[] Housing	[] Prevention of Child Abuse	[] Other
[] Community Development	[] Human Services	[] Property Tax	
[] Construction	[] Industry	[] Public Safety	
[] Consumer	[] Infrastructure	[] Railroads	
[] County Government	[] Insurance	[] Real Estate	
[] Courts	[] Judiciary	[] Regulation	
[] Crime Victim Assistance	[] Labor	[] Reproductive Rights	
[] Criminal Justice	[] Law Enforcement	[] Retail	Specific Legislation:
[] Disabled	[] Legislative Ethics	[] Riverboat Gambling	
[] Domestic Violence	[] Licensure	[] Safety	

Section G - Sworn Statement

PLEASE NOTE: This activity report will be accepted **ONLY** when it bears the **ORIGINAL SIGNATURE** of the registrant or, when the registrant is an Employer Lobbyist, the **ORIGINAL SIGNATURE** of one of the persons listed in Section C of the 2001 Employer Registration statement on file with the Commission.

A report with a stamped or faxed signature, the signature of a person other than the registrant, a signature made by a third party--or, for an Employer Lobbyist, the signature of a person **not** listed in *Section C of the Employer Registration* statement, **will be returned as an unfiled report.**

I understand the law requires lobbyists to obtain and preserve all documents necessary to substantiate their activity reports for four (4) years from the date of filing, and that lobbyists are required to make these materials available for inspection upon the request of the Indiana Lobby Registration Commission.

I swear, under the penalties for perjury, that the answers and statements provided in this activity report were made by me and that these answers and statements are true and complete to the best of my knowledge and belief.

Signature

Title

Printed or Typed Name

_____ , _____

Date _____